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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **OFFICE OF PETITIONS**

Application No. : 09/985,734 Confirmation No.: 4237

Applicant : Catherine A. HAALA

Filed : November 6, 2001

Title : NATIONAL IDENTIFICATION CARD SYSTEM AND
BIOMETRIC IDENTITY VERIFICATION METHOD FOR
NEGOTIATING TRANSACTIONS

TC/A.U. : 2136

Examiner : Ronald BAUM

Atty. Docket No. : US 1295/01

Date : December 17, 2004

INFORMATION DISCLOSURE STATEMENT
(4th Supplemental)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56 and 1.97-1.98, Applicant submits herewith copies of various references for the Examiner's consideration. The references were cited by the European Patent Office in connection with corresponding European Application No.: 02778431.3-1238-PCT/US02/31614, in a Supplementary European Search Report mailed November 16, 2004 (copy enclosed).

It is noted herewith that U.S. Patents 6,208,264 B1 and 4,993,068 A, cited in the European Search Report, are already of record in the present application.

Applicant states:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant further states:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

A completed Form 1449B/PTO listing the reference(s) thereon together with copies of same are enclosed herewith. The Examiner is respectfully requested to return an initialed copy of Form 1449B/PTO along with the next communication in connection with this case.

It is believed that no additional fee is due for this submission. Should that determination be incorrect, however, the Examiner is hereby authorized to charge any deficiencies to our Deposit Account No. 01-0433, and notify the undersigned in due course.

Appl No. 09/985,734
Information Disclosure Statement (4th Supplemental) dated December 17, 2004

Should the Examiner have any questions or wish to discuss further this matter,
please contact the undersigned at the telephone number provided below.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'D. Agarwal', written over a horizontal line.

DINESH AGARWAL
Attorney for Applicant(s)
Reg. No. 31,809

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DA/jv

U.S. Department of Commerce
Patent and Trademark Office

(use as many sheets as necessary)

Complete if Known

Application Number	09/985,734
Filing Date	November 6, 2001
First Named Inventor	Catherine A. HAALA
Group Art Unit	2136
Examiner Name	Ronald BAUM
Attorney Docket Number	US 1295/01

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U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 ⁶ if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

